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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary Hallmark) Confirmation No.: 4042
Serial No.: 09/757,399) Examiner: FRANZ COBY
Filed on: January 5, 2001) Group Art Unit No.: 2171

For: METHOD AND APPARATUS FOR IMPLEMENTING PARALLEL OPERATIONS IN
A DATABASE MANAGEMENT SYSTEM

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with
copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the
submission of this Information Disclosure Statement is not to be construed as a representation
that a search has been made and is not to be construed as an admission that the information cited
in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted
under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

☐

37 C.F.R. §1.97(b). It is respectfully requested that the cited documents be
considered and that the enclosed Information Disclosure Citation Form PTO-
1449 be initialed by the Examiner to indicate such consideration and a copy
thereof returned to applicant(s).

☒

37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes
one of the following:

☐

A statement pursuant to 37 C.F.R. §1.97(e)

The PTO did not receive the following
listed item(s) A Check for \$180.00

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.



A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

☐ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

☐ A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

☐ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

☐ 37 C.F.R. §1.97(i). Applicants are submitting references to satisfy Applicants' disclosure obligations in hopes that the references will be considered by the Examiner. Although the submission does not fully meet 37 C.F.R. §1.97, Applicant respectfully requests that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicant(s). It is understood that if the Examiner does not consider the cited references, the cited documents will be placed in the file pursuant to 37 C.F.R. §1.97(i).

Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith. No certification or fees are deemed necessary.



The Examiner is hereby notified that the present application is related to the following related application(s):

DISCLOSURE OF RELATED APPLICATIONS

| U.S. Application/ Pat. No. | File Date | Atty. Docket. No. |
|----------------------------|------------|-------------------|
| 10/305,744 | 11/27/2002 | 50277-2069 |
| 11/237,039 | 09/27/2005 | 50277-2867 |
| 11/242,361 | 09/30/2005 | 50277-2822 |
| | | |
| | | |

The related application(s) may contain subject matter that is related to the subject matter of the present application. The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.



The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

DISCLOSURE OF OFFICE ACTIONS

| U.S. Application/ Pat. No. | File Date | Office Action Mailing Date | Atty. Docket. No. |
|-------------------------------|------------|-------------------------------|-------------------|
| 10/305,744 | 11/27/2002 | 03/03/2006 | 50277-2069 |
| | | | |
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The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: June 2, 2006



Christopher J. Brokaw
Reg. No. 45,620

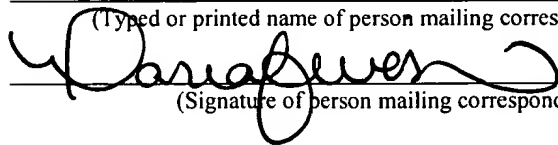
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Maria Eivers

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
(PTO-1449)**



ATTY. DOCKET NO.
50277-1646

SERIAL NO.
09/757,399

APPLICANT:
Gary Hallmark

FILING DATE:
January 5, 2001

GROUP:
2171

U.S. PATENT DOCUMENTS

| Exam. Initial* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM/YYYY | Classification |
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OTHER ART – NO PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation ² |
|--------------------|-----------------------|--|--------------------------|
| | | GERMAN PATENT OFFICE, "Office Action," GR App. No. P4479320.9-53, dated December 22, 2005 (5 pages), with English translation (4 pages). | |
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| Examiner Signature | Date Considered |
|--------------------|-----------------|

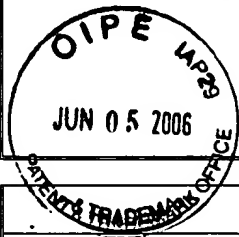
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| Examiner Signature | | Date Considered | |
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